

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Jungkyoo Pamela Ham** Group No.: **2171**  
 Application No.: **09/872,054** Examiner: **Unknown**  
 Filed: **5/31/2001** Confirmation No.: **2294**  
 For: **METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT FOR PROVIDING AN  
 EXTENSIBLE FILE SYSTEM FOR ACCESSING A FOREIGN FILE SYSTEM FROM A  
 LOCAL DATA PROCESSING SYSTEM**

By Missing Parts  
 Commissioner for Patents  
 Washington, DC 20231

TRANSMITTAL

We are transmitting herewith the attached:

- ☒ Response to Notice to File Missing Parts of Nonprovisional Application
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application dated 8/2/2001
- ☒ Declaration signed by inventors (15 pages)
- ☒ Copy of Filing Receipt with missing Projected Publication Date
- ☒ Return Postcard

- ☒ Please charge my Deposit Account No. 09-0460 in the amount of \$ 130.00  
 (A duplicate copy of this sheet is attached)
- ☒ The Commissioner is hereby authorized to charge payment of the following fees  
 associated with this communication or credit any overpayment to Deposit Account 09-0460
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted for  
 Jungkyoo Pamela Ham, et al.

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being  
 deposited with the United States Postal Service as  
 first class mail in an envelope addressed to the  
 Commissioner for Patents, Washington, DC 20231  
 on September 28, 2001

Person mailing paper/fee: Carla R. Aliberti

Signature: Carla R Aliberti

*Prentiss Wayne Johnson*  
 Prentiss Wayne Johnson, Reg. No. 33,123  
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 555 Bailey Avenue  
 San Jose, CA 95141-1003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: **09/872,054** Examiner: **Unknown**  
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PROVIDING AN EXTENSIBLE FILE SYSTEM FOR ACCESSING A  
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Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Sir:

We received a Notice to File Missing Part of Nonprovisional Application, mailed on 8/2/2001, for above-referenced application, which was filed on 5/31/2001 with an unsigned Declaration and Power of Attorney. In response thereto, we are enclosing the Declaration and Power of Attorney signed by the all the inventors.

Respectfully submitted for  
Jungkyoo Pamela Ham, et al.

Prentiss Wayne Johnson (Reg. #: 33,123)

Attorney for Applicant(s)

Telephone: 408-463-5673

IBM Corporation

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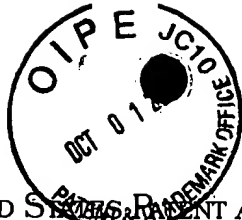
**CERTIFICATE OF MAILING**

I hereby certify that the above paper/ (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on

September 28, 2001

Person mailing paper/fee: Carla R. Aliberti

Signature: Carla R. Aliberti



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/872,054	05/31/2001	2171	836	STL920000057US1	4	27	3

CONFIRMATION NO. 2294

## FILING RECEIPT



\*OC000000006377930\*

International Business Machines Corporation  
Intellectual Property Law (J46/G4)  
555 Bailey Avenue  
San Jose, CA 95141-1003

*rw*

Date Mailed: 08/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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Ronald So-Tse Woan, Austin, TX;

Domestic Priority data as claimed by applicant

Foreign Applications

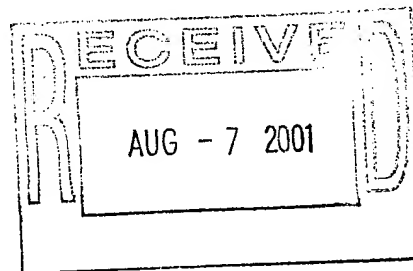
If Required, Foreign Filing License Granted 08/02/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title



Method, system, and computer program product for providing an extensible file system for accessing a foreign file system from a local data processing system

**Preliminary Class**

707

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**Data entry by :** TEGBARU, HAIMANOT

**Team :** OIPE

**Date:** 08/02/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/872,054	05/31/2001	Jungkyoo Pamela Ham	STL920000057US1

CONFIRMATION NO. 2294

## FORMALITIES LETTER



\*OC00000006377931\*

International Business Machines Corporation  
Intellectual Property Law (J46/G4)  
555 Bailey Avenue  
San Jose, CA 95141-1003

Date Mailed: 08/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

H.T.  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/03/2001 JADD01 00000030 090460 09872054

01 FC:105 130.00 CH